# **AGENDA**

## Item 1 Call to Order

Chair McElroy will call the meeting to order.

## Item 2 Public Comments

Chair McElroy will offer those in attendance the opportunity for public comments.

## Item 3 Consent Agenda

-Draft June 14 Audit and Compliance Committee Meeting Minutes

**Proposed Action:** Approval; Motion and Second Required

## Item 4 Direct Support Organizations’ (DSOs) Audits and Form 990s

Vice President Bennett will present on the DSO audits and the DSO Form 990s.

**Proposed Action:** No Action Required

## Item 5 Board of Governors Request of DSO Review of Financial Internal Controls

Vice President Bennett will discuss and provide an update on the Board of Governors engagement contracted with Crowe LLP (Crowe)

to perform the Review of Financial Internal Controls for University Support Organizations.

**Proposed Action:** No Action Required

## Item 6 Performance Based funding Data Integrity Audit – Scope Discussion

Ms. Julia Hann, Chief Audit Executive, will present to review and approve the audit scope of the FY22 Performance Based Funding Data Integrity Audit.

**Proposed Action:** Approval, Motion and Second Required

## Item 7 Office of Internal Auditing Quarterly Update and Annual Report

Ms. Julia Hann, Chief Audit Executive, will provide the Office of Internal Auditing quarterly update and the FY21 Annual Report. Discussions will include recently issued audits, and follow-up taken on outstanding audit recommendations. In addition, Assistant Vice President of Student Affairs Ruth Lopez will provide an update to corrective actions taken for the Minors on Campus Audit.

**Proposed Action:** No Action Required

## Item 8 Proposed Amended Regulation 4.0050R Employment of Relatives

The proposed amendment clarifies the University’s rules regarding employment of relatives, prohibits certain supervisory relationships between relatives, creates a reporting process for notifying the University of a familial relationship, and describes the process for requesting exceptions and creating management plans. Dr. Joann Campbell, Associate Vice President and Compliance Officer, will present this item.

**Proposed Action:** Approval, Motion and Second Required

## Item 9 Compliance Program Quarterly Update and Annual Report

Dr. Joann Campbell, Associate Vice President and Compliance Officer, will provide a Compliance Program update and deliver the Compliance Office’s Annual Report.

**Proposed Action:** No ActionRequired

## Item 10 Board of Governors Certification for Sponsored Research Program

Dr. Joann Campbell, Associate Vice President and Compliance Officer, will provide an update on UNF’s compliance with Board of Governors regulation 10.002, Sponsored Research.

**Proposed Action:** No Action Required

## Item 11 Compliance, Ethics and Risk Oversight Committee (CEROC)

## Annual Report Ms. Hann and Dr. Campbell will present an annual summary of the work completed by CEROC which will include a discussion of the maturity cycle of the University’s enterprise risk management program.

## Proposed Action: No Action Required

## Item 12 Adjournment