# MINUTES

**Members Present:** Paul McElroy (Chair), Tom Bryan, Doug Burnett, Hans Tanzler, and Kevin Hyde (ex officio)

**Members Absent:** Oscar Munoz (excused) and Sharon Wamble-King (excused)

## Item 1 Call to Order

Chair McElroy recognized a quorum and called the Audit & Compliance Committee to order at 1:47 pm.

## Item 2 Public Comments

Chair McElroy offered those in attendance the opportunity to comment on items on the Audit and Compliance Committee agenda. There were no public comments.

## Item 3 Consent Agenda

Chair McElroy asked for a MOTION to consider the approval of the consent agenda.

Approval of Minutes, March 14, 2019

Trustee Burnett made a MOTION to approve the minutes for the June 18, 2019 meeting. Trustee Hyde SECONDED the motion. The committee unanimously APPROVED the minutes.

## Item 4 Compliance Update

Dr. Joann Campbell, Associate Vice President and Compliance Officer, provided a Compliance Update. The University is tracking several changes being made in the 2019 Legislative session including:

* The hazing policy is being reviewed and revised per expanded hazing crimes.
* Immunity protections are being added for students in drug and alcohol overdose situations.
* The legislature has approved active duty and their families for in-state tuition.
* The university is now authorized to perform background checks for positions of trust.
* Meetings related to DSO research funding are not considered public meetings.

The Compliance Office has several initiatives for the next year including the:

* BOG Business Process Review
* 5-year review of the Compliance & Ethics program mandated by the BOG.
* DoD Self-Assessment (this was conducted and has been submitted)
* Web Accessibility Initiative (review and revision of over 340,000 web pages and 80,000 documents).

## Item 5 Office of Internal Auditing (OIA) Quarterly Update

Julia Hann, Chief Audit Executive, provided a status update of internal audit activities.

Ms. Hann noted that the Compliance, Ethics and Risks Oversight Committee (CEROC) prioritized five out of 75 risks for further review:  FERPA Compliance, Network Security/Systems Hacked, Student Academic Engagement Off-Campus, University Conduct and Title IX.  These more in-depth reviews will be presented to the vice presidents this summer. The Study Abroad audit recommendations were sent to the committee with three areas for notable improvement. Current audit work plans include the Florida Highway Safety and Motor Vehicles Data Exchange audit which is almost completed and a Minors on Campus audit which has been started. Two new auditors have been hired – Jillian Litchfield and Brandon Bergman, both with over 10 years of audit experience.

## Item 6 Internal Control and Business Process Review

The Florida Board of Governors engaged Crowe LLP to perform an internal management and accounting control and business process review for each of the

## Item 6 Internal Control and Business Process Review (continued)

universities.  Vice President Shuman shared that a meeting has been held and the UNF review will take place during the weeks of July 15th and July 22nd. The report will be submitted to UNF and the BOG after completion of the review.

## Item 7 Response to Joint Legislative Auditing Committee on the Status of Corrective

## Action Regarding Information Technology Security Controls

Vice President Shuman provided the university’s response to the Joint Legislative Auditing Committee on a request for a written explanation of the status of corrective action from an audit comment regarding Information Technology Security Controls. The response notes that a 16-digit password is currently in place and multi-factor authentication is now being piloted and will be expanded to the campus. Once they are both in place, this will fully address the finding.

## Item 8 Adjournment

Chair McElroy adjourned the committee at 2:27 pm.