

University of North Florida

Division of Sponsored Research and Training

ANNUAL REPORT
1975-76

This is the first annual report of the Division of Sponsored Research and Training of the University of North Florida.

The Division was first officially proposed for establishment by President Thomas G. Carpenter on August 7, 1975. At that time President Carpenter submitted a proposal to Chancellor York, providing the appropriate Justification for the Division, General Policies for operation, Organization, Operating Procedures, and Implementation statement. This proposal was duly reviewed by the Chancellor and approved by the Board of Regents on September 8, 1975. Following affirmation of this action by the State Board of Education, budget approval by the State Comptroller, and release of operating funds, the Division was activated in December, 1975.

Because of the "newness" of the Division, there are many desirable activities which have not yet been initiated. At the close of the 1975-76 fiscal year, no Division funds had been allocated for support of research, nor had any appreciable amount been spent for administration of the Division. Development of policy for release of funds for research and service activities was deferred until at least modest funds had become available.

Now that the Division has begun operations, there are significant benefits which are accruing to the state and to the University.

1. The region of the state in which we are located is benefiting by having a "point of contact" with a State university to assist in various public service activities that can be undertaken jointly with other organizations and agencies.
2. The state educational system has benefited by certain projects undertaken by the Division which have state-wide implications (such as the audit of innovative programs in the public schools and the coordination of the Florida Competency-Based Articulation Project).
3. A wide variety of state agencies have found assistance from UNF faculty through the efforts of the Division, which serves as a "broker" to bring the expertise of the faculty to bear on needs articulated by these agencies.

4. The University has benefited by having an office which can serve as a focal point for acquiring grants and contracts which will augment resources needed to carry out activities appropriate for the University's role.
5. Another benefit to the University is the service provided by the Division in the role of monitor or manager of the grants and contracts program.

An element of concern to the Division and the University is the suggestion that the charter of the Division should be changed to require transfer of a significant portion of the indirect cost revenues to the State Incidental Revenue Fund. Considering the very modest income of the Division, it will be disastrous to the health of the Division's program and to the future of the grants program of the institution if this threat becomes reality.

Following are some pertinent facts regarding activities of the Division during 1975-76.

- A. Number of projects processed.
 - 1. Number of proposals submitted: 33
 - 2. Number of new awards received: 17
 - 3. Number of extensions or renewals: 8
- B. Number of projects active on June 30, 1976: 40
- C. Copyrights and patents: None
- D. Number of purchases made under provisions of Section 241.621(9)F.S.: None
- E. Estimated market value of acquisitions of real property: None
- F. Number of students who are being paid as student assistants, graduate assistants, etc.: 6 (See Exhibit E).

EXHIBIT A

Sponsored Research Division
Schedule of Total Sponsored Research and Training
Contract and Grant Expenditures
For the Fiscal Year Ending June 30, 1976

Sponsored Research and Training	Total	Salaries and Fringe Benefits		Expense	OCO	Transfers XXXXXXXXXXXXXX XXXXXXXXXX
		OPS	OCO			
Operation of the Division of Sponsored Research	50.00	-0-	-0-	50.00	-0-	-0-
All Other Sponsored Research and Training Expenditures	1,225,855.32	405,101.92	282,367.43	209,028.16	9,985.84	319,371.97
Total Sponsored Research and Training Expenditures	1,225,905.32	405,101.92	282,367.43	209,078.16	9,985.84	319,371.97

EXHIBIT B

Sponsored Research Division
 Schedule of Total Sponsored Research and Training Expenditures
 Classified by HEGIS Discipline Category
 for the Fiscal Year Ended June 30, 1976

<u>Category and Department</u>	<u>Amount</u>
0100 Agriculture	
0200 Architecture and Environmental Design	
0300 Area Studies	
0400 Biological Sciences	\$ 16,763.96
0500 Business and Management	107,831.17
0600 Communications	1,081.04
0700 Computer and Information Sciences	
0800 Education	333,053.80
0900 Engineering	
1000 Fine and Applied Arts	
1100 Foreign Languages	
1200 Health	
1300 Home Economics	
1400 Law	
1500 Letters	
1600 Library Science	12,374.13
1700 Mathematics	
1800 Military Sciences	
1900 Physical Sciences	
2000 Psychology	
2100 Public Affairs and Services	30,271.52
2200 Social Sciences	5,125.64
4900 Interdisciplinary	<u>719,354.06</u>
Total	<u>\$ 1,225,855.32</u>

EXHIBIT D

Sponsored Research Division
 Indirect Cost or Overhead Fund
 Statement of Receipts, Disbursements and Fund Balance
 Actual, Estimated and Budgeted
 For the Fiscal Year Indicated

	Actual 1975-76	Estimated 1976-77	Projected 1977-78
Cash Balance, Beginning	-0-	27,281.97	25,281.97
<u>RECEIPTS</u>			
Indirect Cost Receipts (overhead)	27,331.97	10,000.00	8,000.00
Adjustments (include explanation for any adjustments)	-0-	-0-	-0-
Less - Transfers to Incidental Trust Fund	-0-	-0-	-0-
Total Net Receipts	27,331.97	10,000.00	8,000.00
Total Available	27,331.97	37,281.97	33,281.97
<u>DISBURSEMENTS</u>			
Operating Disbursements - Sponsored Research Division (Schedule 1-D)	50.00	12,000.00	10,000.00
Internal Awards for Research (Schedule 2-D)	-0-	-0-	-0-
Awards for Fixed Capital Outlay (Schedule 3-D)	-0-	-0-	-0-
Total Disbursements	50.00	12,000.00	10,000.00
Cash Balance, Ending	27,281.97	25,281.97	23,281.97

Sponsored Research Division
 Schedule of Operating Disbursements of the Division
 Actual, Estimated and Budgeted
 for the Fiscal Year Indicated

<u>Type Expenditure</u>	<u>Actual 1975-76</u>	<u>Estimated 1976-77</u>	<u>Projected 1977-78</u>
Salaries and Fringe Benefits	\$ -0-	\$ 10,000.00	\$ 8,000.00
Number of Faculty FTE's supported			
Number of A & P FTE's supported			
Number of Career Service FTE's supported			
Other Personal Services	\$ -0-	\$ -0-	\$ -0-
Expenses	\$ 50.00	\$ 2,000.00	\$ 2,000.00
Operating Capital Outlay	\$ -0-	\$ -0-	\$ -0-
	<hr/>	<hr/>	<hr/>
TOTAL	<u>\$ 50.00</u>	<u>\$ 12,000.00</u>	<u>\$ 10,000.00</u>

Sponsored Research Division
Schedule of Internal Awards for Research Classified
by HEGIS Discipline Category
for the Fiscal Year Ended June 30, 1976

Category and Department	Amount
0100 Agricultural Research	NONE
0200 Architecture and Environmental Design Research	
0300 Area Studies Research	
0400 Biological Sciences Research	
0500 Business and Management Research	
0600 Communications Research	
0700 Computer and Information Sciences Research	
0800 Education Research	
0900 Engineering Research	
1000 Fine and Applied Arts Research	
1100 Foreign Languages Research	
1200 Health Research	
1300 Home Economics Research	
1400 Law Research	
1500 Letters Research	
1600 Library Science Research	
1700 Mathematics Research	
1900 Physical Sciences Research	
2000 Psychology Research	
2100 Public Affairs and Services Research	
2200 Social Sciences Research	
4900 Interdisciplinary Research	

SCHEDULE 3-D

Sponsored Research Division
Schedule of Awards for Fixed Capital Outlay
by Organizational Unit, Amount and Type
For the Fiscal Year Ended June 30, 1976

<u>Organizational Unit</u>	<u>Amount</u>	<u>Type Space</u>
NONE		*Use SUS (USOE) space inventory classification system to detail net assignable space (NASF) added to university inventory by type of space. If projects involve renovation, report total NASF affected by project and net result of project on university space inventory.

EXHIBIT E

Sponsored Research Division

Schedule of the Number of Students Paid out of Contracts and Grants

By Academic Quarter

Classified by HEGIS Discipline Category

for the Fiscal Year Ended June 30, 1976

Category and Department	Academic Quarter			
	Summer 1975	Fall 1975	Winter 1976	Spring 1976
0100 Agriculture				
0200 Architecture and Environmental Design				
0300 Area Studies				
0400 Biological Sciences				
0500 Business and Management	1			
0600 Communications				
0700 Computer and Information Sciences				
0800 Education	1	3	1	
0900 Engineering				
1000 Fine and Applied Arts				
1100 Foreign Languages				
1200 Health				
1300 Home Economics				
1400 Law				
1500 Letters				
1600 Library Science				
1700 Mathematics				
1800 Military Sciences				
1900 Physical Sciences				
2000 Psychology				
2100 Public Affairs and Services				
2200 Social Sciences				
4900 Interdisciplinary Studies				
Totals	2	3	1	0

EXHIBIT C

Analysis of Research and Training Contracts and Grants
 In Effect During the Period July 1, 1975 through June 30, 1976
 Division of Sponsored Research
 University of North Florida
 Fiscal Year 1975-76

Req. Program Discipline	UNF No.	Sponsoring Agency	Unexpended Bal. from Previous Year	New Award Rec'd During F/Y	Expenditures During F/Y	Unexpended Balance at End of F/Y (Carry Fwd.)	Expiration Date	Cost Sharing Promised On New Awards(\$)	Over-head Rate(%) & Cal. Method	Department & College	Project Director	Description Title of Contract Grant
01	2.20400	110105002	Seagrant	-0-	16,075.41	16,688.36	(612.95)	22,085.00	20% TDC	Nat. Sci.	DeMort	Seagrant
02	2.20400	110105003	NIH	-0-	29,080.00	75.60	29,004.40	1,530.00	53.7% STW	Nat. Sci.	Huebner	Cell Res
Sub Totals				45,155.41	16,763.96							
03	2.20500	010304002	S.B.A.	602.53	-0-	602.53	-0-	-0-	-0-	Marketing	Salter	Counselor
04	2.20500	010350001	S.B.A.	768.10	-0-	577.49	188.61	-0-	-0-	Stu. in Econ.	Lloyd	Counselor
05	2.20500	110302001	St. of Fla.	30,605.20	10,355.00	40,993.75	(33.55)	-0-	-0-	Economics	Perry	Econ. Mod
06	2.20500	110302002	St. of Fla.	16,134.24	3,500.00	19,624.24	10.00	-0-	-0-	Economics	Perry	Econ. Mod
07	2.20500	110302003	P.O.R.	-0-	5,340.00	1,672.92	3,667.08	2,886.00	8% TDC	Economics	Perry	Cors. Upd
08	2.20500	110305002	D.O.T.	-0-	39,734.00	35,251.63	4,472.37	5,400.00	20% TDC	Transport.	Smith	Study of
09	2.20500	110350002	S.B.A.	5,000.00	7,500.00	9,098.51	3,401.39	-0-	-0-	Stu. in Econ.	Salter	Counselor
Sub Totals				66,429.00	107,831.17							
10	4.30600	160901002	USOE	-0-	1,081.04	1,081.04	-0-	10,350.00	-0-	Inst. v. Comm.	Punkhouser	Audio Oc
11	5.30800	110001010	St. Dept. Education	-0-	6,103.00	273.23	5,834.77	-0-	-0-	V.P. Acad.	Warren	Transfer
12	5.20800	110501000	USOE	15,552.27	(2,100.78)	13,441.51	9.98	-0-	-0-	Admin. & Super.	Robinson	Stu Drop
13	5.20800	110501002	USOE	-0-	177,888.00	171,206.59	6,681.42	-0-	-0-	Admin. & Super.	Daniels	Stu Drop
14	4.80800	110501003	St. Dept. Ed.	-0-	11,631.20	1,937.38	9,693.82	-0-	-0-	Admin. & Spr.	Healy	Train. Pr
15	4.80800	110502003	USOE	-0-	47,518.08	47,518.08	-0-	-0-	-0-	8% TDC	Gutknecht	to Re
16	4.80800	110502004	N.S.F.	-0-	18,112.72	18,112.72	-0-	2,800.00	50% STW	Elm. Ed.	Schwab	Curr. Dev
17	4.80800	110503001	Donations	695.62	-0-	(74.00)	769.62	-0-	-0-	Spec. Ed.	Siudzinski	Spec. Pr
18	4.80800	110503002	USOE	-0-	35,507.55	35,507.55	-0-	-0-	8% TDC	Spec. Ed.	Siudzinski	Hand. Ed.

- Cont -

EXHIBIT C

Analysis of Research and Training Contracts and Grants
In Effect During the Period July 1, 1975 through June 30, 1976
Division of Sponsored Research

University of North Florida
Fiscal Year 1975-76

Program Discipline	UNF No.	Sponsoring Agency	Unexpended		New Award Rec'd During F/Y	Unexpended		Expiration Date	Cost Sharing Promised On New Awards (\$)	Over- head Rate (%) & Cal. Method	Department & College	Project Director	Descripti Title of Contract Grant
			Bal. from Previous Year	Rec'd During F/Y		Balance at End of F/Y (Carry Fwd.)	Expenditures During F/Y						
3	4.80800	110505010	St. Dpt. Ed.	1,519.52	3,385.07	4,904.59	-0-	06/30/75	-0-	-0-	Voc.Tech.Ed.	Russell	Workshop
3	4.80800	110505011	St. Dpt. Ed.	1,712.27	598.06	2,310.33	-0-	07/11/75	-0-	-0-	Voc.Tech.Ed.	Russell	Workshop
1	4.80800	110505012	St. Dpt. Ed.	5,287.01	853.38	6,140.39	-0-	06/30/75	-0-	-0-	Voc.Tech.Ed.	Russell	Workshop
2	4.80800	110505013	St. Dpt. Ed.	-0-	3,572.47	3,416.25	156.22	06/30/76	-0-	-0-	Voc.Tech.Ed.	Russell	Supp. Srvc
3	4.80800	110505014	St. Dpt. Ed.	-0-	4,000.00	3,349.33	650.67	12/31/76	-0-	-0-	Voc.Tech.Ed.	Russell	Supp. Srvc
4	4.80800	110505015	St. Dpt. Ed.	-0-	4,700.00	3,908.94	791.06	12/31/76	-0-	-0-	Voc.Tech.Ed.	Russell	Supp. Srvc
5	4.80800	110505016	St. Dpt. Ed.	-0-	3,050.00	108.09	2,941.91	06/30/77	-0-	-0-	Voc.Tech.Ed.	Russell	Follow-up
5	4.80800	110505017	St. Dpt. Ed.	-0-	1,613.80	301.58	1,311.91	06/30/77	-0-	-0-	Voc.Tech.Ed.	Russell	Workshop
7	4.80800	130001001	Admin. Allow.	-0-	11,613.80	1,850.06	9,763.74	06/30/77	-0-	-0-	Cont. Ed.	Mitchell	Cont. Ed.
3	1.20800	150001001	St. of Fla.	8,300.00	10,498.50	18,798.50	-0-	08/31/75	-0-	-0-	Phys. Fac.	Mancilli	Youth Cont
3	1.20800	150010001	Donations	536.90	-0-	242.69	294.21	06/30/77	-0-	-0-	Grounds	Mancilli	Nature Tri
Sub Totals					338,549.54	333,053.80							
0	41.1600	140110002	St. of Fla.	771.85	1,430.00	817.49	1,384.36	06/30/77	-0-	-0-	Library	Farkas	Inter-Libr
1	41.1600	140110003	St. of Fla.	3,920.72	3,708.00	7,628.72	-0-	06/30/75	-0-	-0-	Library	Farkas	Comcat
2	41.1600	140150003	USOE	-0-	10.47	10.47	-0-	06/30/75	-0-	-0-	Library	Farkas	Books
3	41.1600	140150004	USOE	-0-	3,918.00	3,917.45	.55	06/30/76	-0-	-0-	Library	Farkas	Books
Sub Totals					9,066.47	12,374.13							

EXHIBIT C

Analysis of Research and Training Contracts and Grants
In Effect During the Period July 1, 1975 through June 30, 1976
Division of Sponsored Research

University of North Florida
Fiscal Year 1975-76

eg. Program Discipline	UNF No.	Sponsoring Agency	Unexpended Bal. From Previous Year	New Award Rec'd During F/Y	Expenditures During F/Y	Unexpended Balance at End of F/Y (Carry Fwd.)	Expiration Date	Cost Sharing Promised on New Awards(\$)	Over-head & Cal. Method	Department & College	Project Director	Description Title of Contract Grant
34	3.22100	110350004	0-	5,795.00	4,761.07	1,033.93	06/30/77	0-	0-	Stu. in Econ.	Lloyd	Black History Week
35	4.82100	130001002	0-	18,340.00	16,623.71	1,716.29	06/30/77	0-	0-	Cont. Ed.	Mitchell	Pub. Serv
36	2.22100	130001003	0-	2,021.04	8,886.74	(6,865.70)	05/30/76	0-	8% TDC	Cont. Ed.	Mitchell	Aging
Sub Totals				26,156.04	30,271.52							
27	2.22200	110110001	5,125.64	0-	5,125.64	0-	12/31/75	0-	0-	Sociology	Rasche	Salaries
38	5.14900	100101001	439.60	2,082.50	1,028.25	1,493.85	06/30/77	0-	0-	Stu. Affrs.	Coy	Salaries
39	5.14900	100101003	939.22	25,951.00	25,405.44	1,484.78	06/30/77	0-	0-	Stu. Affrs.	Coy	Salaries
40	5.44900	100110001	0-	264.79	0-	264.79	06/30/77	0-	0-	Fin. Aid	Jefferson	Salaries
41	5.44900	100111905	0-	155,984.50	155,984.50	0-	06/30/76	0-	0-	Fin. Aid	Jefferson	Stu. Aid
42	5.34900	100120002	2,429.30	0-	2,429.30	0-	06/30/75	0-	0-	Coop. Ed.	Boyles	Coop. Ed.
43	5.34900	100120003	0-	38,000.00	32,077.62	5,922.38	06/30/76	0-	8% TDC	Coop. Ed.	Boyles	Coop. Ed.
44	5.14900	100130000	1,645.58	130,621.00	127,765.96	4,500.62	06/30/77	0-	0-	Stu. Act.	Coy	Salaries
45	5.34900	100210000	5,210.96	4,038.00	5,912.96	3,336.00	06/30/77	0-	0-	Registrar	Crippen	Salaries
46	6.24900	100340001	206.14	72.78	0-	278.92	06/30/77	0-	0-	Controller	Andrew	Salaries
47	6.24900	100340002	0-	9,430.00	9,077.23	352.77	06/30/77	0-	0-	Controller	Andrew	Salaries
48	5.34900	110001006	0-	858.53	858.53	0-	06/30/75	0-	0-	V.P. Acad.	Wharton	Salaries
49	5.34900	110001009	0-	22,329.00	21,473.91	855.09	06/30/76	0-	0-	V.P. Acad.	Wharton	Salaries
50	1.34900	110004001	27,137.40	17,162.96	44,300.36	0-	06/30/76	0-	0-	Cont. Ed.	Mitchell	Face Proc
51	5.44900	182001003	0-	205,000.00	205,000.00	0-	06/30/76	0-	0-	Fin. Aid	Jefferson	Stu. Aid
52	5.44900	182010009	0-	29,493.00	29,493.00	0-	06/30/76	0-	0-	Fin. Aid	Jefferson	Stu. Aid
53	5.44900	182010011	0-	23,547.00	23,547.00	0-	06/30/76	0-	0-	Fin. Aid	Jefferson	Stu. Aid
54	5.44900	182030002	0-	35,000.00	35,000.00	0-	06/30/76	0-	0-	Fin. Aid	Jefferson	Stu. Aid
Sub Totals				699,835.06	719,354.06							
Overall Totals				134,538.07	1,186,272.56	1,225,855.32						